# Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

#### General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

This report reflects the performance of Nevada's system of early intervention services established under Part C of the Individuals with Disabilities Education Act (IDEA) 2004 relative to the targets established in the State Performance Plan (SPP). The timeframe covered by the report is July 1, 2013 through June 30, 2014. This is Federal Fiscal Year (FFY) 2013, State Fiscal Year (SFY) 2014. This year's report also reflects new SPP targets established for Nevada for FFY 2013 through FFY 2018. The IDEA Part C Office, as lead agency for the statewide system, had worked with key stakeholders, including the State Interagency Coordinating Council (ICC) in the development of this report and establishing future targets.

The State's general supervision system is designed to drive improved results for infants and toddlers with disabilities and to ensure the Lead Agency meets the requirements of Part C. The system supports ongoing activities to ensure early identification of infants and toddlers with disabilities, the provision of timely early intervention services and evaluation of the effectiveness of the system in improving outcomes for children and families. Key activities utilized by the IDEA Part C Office to assess the implementation and impact of early intervention services include:

- Compilation, analysis and reporting data on the impact of early intervention services on outcomes for children served by the system through the Tracking Resources and Children (TRAC) IV data system in conjunction with the Child Outcomes analysis spreadsheet developed by the Early Childhood Outcomes (ECO) Center;
- Analysis of the impact of early intervention services in improving outcomes for families of infants and toddlers receiving early intervention services through responses to the IDEA Part C Office Annual Family Survey conducted in conjunction with the University of Nevada, Reno (UNR);
- Ongoing desk audits for review, verification and analysis of data generated through the TRAC IV data system relative to APR performance indicators;
- Analysis and reporting of data required under section 618 including investigation of complaints, mediation and due process requests;
- Review and verification of the annual Self-Assessment (SA) reports submitted by each Early Intervention Service (EIS) providers' Quality Assurance team; Data are gathered from child record reviews for this process;
- Conducting on-site monitoring and focused monitoring by the IDEA Part C Office, as needed; and
- Issuing findings of noncompliance to EIS providers as a result of general supervision activities and working with providers to identify underlying causes and to support the timely correction of noncompliance.

Processes for program monitoring are reviewed and updated on at least an annual basis. In order to ensure the data gathered through program monitoring are consistent with timeframes reported in the APR, data for Indicators 1, 8.A. and 8.C represent the first three quarters of the fiscal year. EIS programs utilize data from this timeframe to conduct Self-Assessment (SA) activities. The IDEA Part C Office utilizes the EIS provider's SA report and fourth quarter data for review and verification of program performance, to determine if written findings of noncompliance are needed and to support providers in developing and implementing strategies to improve results for children and families for whom they provide early intervention services.

Performance for Indicators 2, 5, and 6 are based on data generated for 618 reporting requirements and represent a one-day count of all children with an active IFSP on December 1, 2013. Indicator 7 performance is based on data from the TRAC IV data system and represents all children for whom an initial evaluation and Individual Family Service Plan (IFSP) is conducted within the fiscal year. Indicator 8.B. is reported based on notification to the State Education Agency (SEA) and the Local Education Agency (LEA) of all children exiting Part C services and potentially eligible for Part B services by the IDEA Part C Office.

Performance relative to Indicators 3 and 4 are reported to each EIS provider and to the public on an individual program basis. This data, including specific comments from families gathered through the family survey, are shared with programs and stakeholders and are used by programs in the process of program improvement.

#### Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to

early intervention service (EIS) programs.

The IDEA Part C Office provides both systemic and direct technical assistance support to entities within the State to support program improvement and improved outcomes for children and families. Technical support is provided to administration and leadership for other early childhood initiatives in the State not specific to Part C to ensure strong collaborations with the overall early childhood service system. The IDEA Part C Office also hosts monthly Technical Assistance (TA) calls and quarterly video conference sessions with managers from all EIS provider agencies. Informational documents and resources on evidenced based practices issued by the national TA centers are shared with programs on a regular basis. The IDEA Part C Office also develops and issues topical TA documents to guide programs in implementing quality practices in a manner compliant with federal and state requirements. When EIS providers have findings of noncompliance, the IDEA Part C Office assists the provider in identifying underlying causes for the noncompliance and provides specific technical support to assist in timely correction of the noncompliance.

#### **Professional Development System:**

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The State implements a number of processes to support ongoing professional development for providers in the early intervention system. Policies on personnel standards and practices were reviewed and updated in 2013. Language was updated to place stronger emphasis on the use of evidence-based interventions to support children and families served by the system. Ongoing improvement in the system of professional development for EIS providers is supported through the establishment of a subcommittee of the ICC to review credentialing procedures for Developmental Specialists providing EI services. The University of Nevada, Reno (UNR) was awarded a grant through the U.S. Department of Education to support Developmental Specialists in obtaining endorsements in Early Childhood Special Education (ECSE) to support the increase in the number of highly qualified EIS providers.

The IDEA Part C Office has established a system for providing training to all new employees coming into the early intervention system, as well as existing employees directed to participate as a results of identification of noncompliance, through New Employee Orientation. This includes a comprehensive review of the system and stresses the importance of family centered evidenced-based practices. Topical trainings are also provided or facilitated by the IDEA Part C Office as the need is identified through evaluation of the system or based on provider request. Examples of topical trainings include: a statewide training on Transition requirements and procedures developed and implemented jointly by NV Department of Education and the IDEA Part C Office, training on development of functional outcomes, training for EI providers on the process for generating data on child outcomes, and trainings focused on enhancing communications between parents and professional. All training sessions include an evaluation by participants where individualized program follow-up can be requested. The IDEA Part C Office routinely distributes information on webinars and other resources related to evidence-based practices to all EI providers on an ongoing basis. Training is also provided for low performing programs in results indicators to support improved outcomes for children and families.

The IDEA Part C Office also participates on the leadership team and provides financial support for implementation of trainings through the Technical Assistance Center on Social Emotional Intervention for Young Children (TACSEI) project. There has also been a strong collaborative initiative between the IDEA Part C Office and the State's Early Hearing Detection and Intervention (EHDI) program to provide support for training for personnel who work with children with hearing impairment. This training is provided through the SKI-HI institute and follow-up to develop an ongoing train-the-trainer model for Nevada is in process. The Aging and Disability Services Division (ADSD) initiated a process for development of a Program Development that will provide ongoing trainings to early intervention services providers on quality practices to support improved outcomes for children and families.

#### Stakeholder Involvement:

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

Throughout the course of FFY 2013, Nevada has presented data and other key information relative to the SPP/APR and related activities to Nevada's Interagency Coordinating Council (ICC), including ICC Subcommittees, as appropriate. Performance data relative to the SPP/APR is presented at all quarterly meetings. Decisions regarding specific targets for child outcomes have also been developed in collaboration with the work of the Child Outcomes workgroup that has met on at least a quarterly basis. The final draft of each indicator of the SPP/APR was reviewed with the ICC for feedback at the January 2015 meeting prior to submission. Proposed targets for the SPP and State Systemic Improvement plan (SSIP) were also presented to the ICC at the January 2015 meeting for review and feedback.

#### Reporting to the Public:

How and where the State reported to the public on the FFY 2012 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2012 APR, as required by 34 CFR §300.602(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2012 APR in 2014, is available.

Nevada's FFY 2013 SPP/APR will be posted on the Department of Health and Human Services Director's Office website at <a href="http://dhhs.nv.gov/programs/IDEA/PartC">http://dhhs.nv.gov/programs/IDEA/PartC</a> not later than May 1, 2015. Local report cards will be created for each early intervention service provider program and will also be posted to the website not later than June 3, 2015. All previous years

reports are under the Publications tab. A news release will be created to report to the media on the release of the SPP/APR and SSIP in June 2015 through the Department's Public Information Officer.

As of July 1, 2013, the Nevada Legislature relocated the IDEA Part C Office to the Director's Office for the Department of Health and Human Services (DHHS). The State was also working with a contractor to revamp agency websites to ensure continuity in appearance and layout to promote ease of access by the public. It appears that somewhere during this process, some former postings by the IDEA Part C Office may not have transferred to the new site on the DHHS, Director's Office webpage. The IDEA Part C Office has reposted the early intervention services (EIS) program annual report cards for 2012. It has also been verified the report cards for 2013 are posted. It is noted there were three (3) additional programs added between the 2012 and 2013 reporting period. Therefore, nine (9) programs were reported for 2012 and 12 programs for 2013. All report cards (as listed below) as well as the State's Annual Performance Report (APR) for FFY 2011 and 2012 can be reviewed at: http://dhhs.nv.gov/Programs/IDEA/Publications/

## **Regional Program Report Cards**

#### SFY 2013

- 2013 Advanced Pediatric Therapies Report Card
- 2013 Easter Seals Nevada Report Card N
- 2013 Easter Seals Nevada Report Card S
- 2013 Integrated Supports Solutions-Las Vegas Report Card
- 2013 Kideology Las Vegas Report Card
- 2013 Positively Kids-Las Vegas Report Card
- 2013 The Continuum-Reno Report Card
- 2013 Therapy Management Group-Las Vegas Report Card
- 2013 Therapy Management Group-North Report Card
- 2013 NEIS Northeastern Report Card
- 2013 NEIS Northwestern Report Card
- 2013 NEIS Southern Report Card

#### **Regional Program Report Cards**

#### SFY 2012

- 2012 Advanced Pediatric Therapies Report Card
- 2012 Easter Seals Nevada Report Card
- 2012 Integrated Supports Solutions Report Card
- 2012 Positively Kids Report Card
- 2012 The Continuum Reno Report Card
- 2012 Therapy Management Group Report Card
- 2012 NEIS Northeastern Report Card
- 2012 NEIS Northwestern Report Card
- 2012 NEIS Southern Report Card

#### **OSEP** Response

The State has not publicly reported on the [FFY 2012 (July 1, 2012-June 30, 2013) and/or FFY 2011 (July 1, 2011-June 30, 2012)] performance of each EIS program or provider located in the State on the targets in the State's performance plan as required by section 616(b)(2)(C)(ii)(I) of IDEA.

The State was identified as being in need of assistance for two consecutive years based on the State's FFY 2011 and FFY 2012 APRs. In its June 23, 2014 Determination Letter to the State, OSEP advised the State of available technical assistance, and required the State to report, with the FFY 2013 SPP/APR, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance. The State did not report on the technical assistance sources from

which the State received assistance in response to this requirement and did not report on the actions the State took as a result of that technical assistance.

## Certify and Submit your SPP/APR

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Dena Schmidt

Title: Deputy Director

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## Indicator 1: Timely provision of services Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

#### (20 U.S.C. 1416(a)(3)(A) and 1442)

## **Historical Data**

#### Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012	
Target		100%	100%	100%	100%	100%	100%	100%	
Data	61.90%	59.20%	51.14%	51.14% 57.00%		57.00%	72.00%	76.24%	
Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

#### FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

#### **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

OSEP identified and corrected a system defect related to calculating exceptional family circumstances for Indicators 1, 7, 8A, and 8C during its review phase. Please refer to OSEP's clarification announcement email and the attachment on the progress page titled "Exceptional Family Circumstances Calculations" to determine if your State must take any action.

## Indicator 1: Timely provision of services FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### FFY 2013 SPP/APR Data

#### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Total number of infants and toddlers with IFSPs	2,512	328

#### **Explanation of Alternate Data**

Data for this indicator is compiled through monitoring activities. All EIS providers are required to participating in monitoring for this indicator on an annual basis. A selection of records are reviewed for each of twelve (12) early intervention service providers across the state. The EIS provider's selection of records must include 10% of enrollment is required for larger programs and 20% for smaller programs. Since the data in this selection are compiled statewide, the data is representative of all children in early intervention services in Nevada.

Nevada has chosen to include in the calculation the number of children where the only reason for delay in services was due to family circumstances. The number is included in both the numerator and the denominator. Family circumstances resulting in delay in initiation of services included:

- Child or Family Illness resulting in rescheduling of appointments;
- · Family cancellation or rescheduling of appointments;
- Family failed to follow-through with scheduled appointments (especially relative to Audiology evaluations); and
- Family no-showed for appointment.

#### FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
294	328	76.24%	100%	96.04%	Did Not Meet Target	No Slippage
* FFY 2012 Data are editable on the Historical Dat	a and Targets page.					

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner)

What is the source of the data provided for this indicator?

State monitoring

State database

Describe the method used to select EIS programs for monitoring.

Nevada's Definition of Timely Services:

21

Early intervention services identified on the initial and subsequent Individualized Family Service Plans (IFSP) of an eligible child, including IFSP reviews, will be provided to the child and family as soon as possible following the family's consent to implement the IFSP. Determination of whether or not services are provided in a timely manner will be based on:

- A. Initiation of new services within 30 days from the date the parents provided consent for the IFSP service; or
- B. The projected IFSP initiation date as determined by the IFSP team and indicated on the IFSP. This may include services such as periodic follow-up or service needed on an infrequent basis (ex. on a quarterly basis).

The IDEA Part C Office requires all early intervention service provider programs to participate in the monitoring process on an annual basis. Programs identified as having noncompliance in an indicator are issued one (1) finding for that indicator. Programs are also selected for focused monitoring based on concerns identified by the IDEA Part C Office through desk audit or complaint investigation.

A total of 12 early intervention service provider programs statewide were providing comprehensive early intervention services during this reporting period. Data were gathered through child records and included all IFSP junctures (initial, annual and all reviews, including 6-month reviews and any other reviews requested by the family or the program). The timeframe covered in the review of child records was July 1, 2013 through March 31, 2014. Records to be reviewed were selected randomly and were required, at a minimum, to represent either 10% or 20% of enrollment, depending on the size of the program. It was felt this number of records was sufficient to accurately evaluate the program's performance relative to all children served by the program.

Results of FFY 2013 Monitoring

A total of two (2) new findings were issued as a result of program monitoring in FFY 2013. The results were as follows:

Ten (10) programs were found to be at 100% compliance for the reporting period – no findings were issued.

Two (2) programs were issued new findings of noncompliance.

One (1) program continued to have on-going noncompliance

Focused Monitoring:

One (1) program was issued a finding of noncompliance during this reporting period as a result of focused monitoring.

Complaints:

Three (3) new findings of noncompliance were issued to two (2) programs under this indicator in this reporting period as a result of investigation of complaints.

A total of six (6) findings of noncompliance were issued for this indicator in FFY 2013 through general supervision activities. This is an improvement from the fifteen (15) new findings of noncompliance issued in FFY 2012 relative to timely initiation of services.

Provide additional information about this indicator (optional)

## **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

OSEP identified and corrected a system defect related to calculating exceptional family circumstances for Indicators 1, 7, 8A, and 8C during its review phase. Please refer to OSEP's clarification announcement email and the attachment on the progress page titled "Exceptional Family Circumstances Calculations" to determine if your State must take any action.

## Indicator 1: Timely provision of services Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

#### (20 U.S.C. 1416(a)(3)(A) and 1442)

#### Actions required in FFY 2012 response table

Because the State reported less than 100% compliance for FFY 2012, the State must report on the status of correction of noncompliance identified in FFY 2012 for this indicator. In addition, the State must demonstrate, in the FFY 2013 APR, that the remaining three uncorrected findings of noncompliance identified in FFY 2011 and the remaining eight uncorrected findings of noncompliance identified in FFY 2013 APR, that the remaining three uncorrected findings of noncompliance, the State must report, in its FFY 2013 APR, that it has verified that each EIS program with findings of noncompliance identified in FFY 2012 and each EIS program or provider with remaining findings of noncompliance identified in FFY 2011 and in FFY 2018. (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2013 APR, the State must describe the specific actions that were taken to verify the correction.

#### Responses to actions required in FFY 2012 response table, not including correction of findings

A total of 12 programs were monitored for compliance with timely initiation of IFSP services through the FFY 2012 standard monitoring process. Two (2) programs also had focus monitoring visits. A total of six (6) new findings were issued as a result of program monitoring in FFY 2012. The results were as follows:

- Three (3) programs were issued new findings of noncompliance in FFY 2012 based on monitoring activities conducted in FFY 2011. All three programs have been verified to have timely correction of the noncompliance by the end of September 2013.
- One (1) program was issued two (2) findings of noncompliance based on focused monitoring activities; timely correction has been verified.
- One (1) program was issued a new finding of noncompliance as a result of the FFY 2012 Self-Assessment (SA) monitoring activities.
- One (1) program was found to have ongoing noncompliance.

#### Complaints:

Eleven (11) individual child complaints were filed with the IDEA Part C Office relative to Indicator 1 during in FFY 2012. Three (3) families reached agreement with the program prior to the 60-day timeline for investigation and rescinded their complaint. Findings of noncompliance were issued as a result of investigation of the eight (8) remaining complaints. One (1) finding was also issued in FFY 2012 as a result of investigation of one (1) complaint filed in June, 2011 (FFY 2011). All complaints were investigated and reports issued within the required timelines. Findings of noncompliance based on complaint investigations were issued to three (3) early intervention service provider programs in FFY 2012 as follows:

- One (1) program was issued six (6) findings of noncompliance.
- One (1) program was issued two (2) findings of noncompliance.
- One (1) program was issued one (1) finding of noncompliance.

In total, fifteen (15) new findings of noncompliance were issued in FFY 2012 relative to timely initiation of services. One program was found to have on-going noncompliance. All programs with newly identified noncompliance were notified by the IDEA Part C Office that noncompliance must be corrected as soon as possible and, at a maximum, within one (1) year from the notification of the noncompliance. The programs were also required to develop a Corrective Action Plan (CAP) including activities reasonably calculated to ensure timely correction of the noncompliance.

#### **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

OSEP identified and corrected a system defect related to calculating exceptional family circumstances for Indicators 1, 7, 8A, and 8C during its review phase. Please refer to OSEP's clarification announcement email and the attachment on the progress page titled "Exceptional Family Circumstances Calculations" to determine if your State must take any action.

## Indicator 1: Timely provision of services

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

## Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected	
15	9	6	0	

## FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

#### Process for Verification of Correction of Noncompliance Identified in FFY 2012:

#### Timely Correction:

Verification that EIS provider programs with findings of noncompliance in FFY 2012 were meeting requirements for timely initiation of services for all children enrolled was verified through the following activities:

One (1) program (1 finding) was verified to be implementing requirements for all children based on new data collected through file reviews during an onsite verification by the IDEA Part C Office at the end of the first quarter after the finding was issued.

• Five (5) programs (8 findings) were verified to be implementing requirements for all children based on new data collected through file reviews during the FFY 2013 monitoring process.

## Subsequent Correction:

One (1) program was also notified of subsequent correction of systemic compliance for six (6) findings of noncompliance issued in FFY 2012. These findings were all issued as a result of investigation of individual child complaints. One (1) finding was issued in August of 2012 and the remaining five (5) were issued between May 3<sup>rd</sup> and June 20, 2013. Verification that the program was implementing requirements for all children was based on new data compiled as a result of FFY 2013 monitoring. Written notification of correction was issued on June 30, 2014.

#### Status of Correction of Noncompliance Identified in FFY 2011 as of Submission of the FFY 2013 APR:

The "Required Actions" in OSEP's June 2014 response to Nevada's FFY 2012 APR states:

"..... In addition, the State must demonstrate, in the FFY 2013 APR, that the remaining three uncorrected findings of noncompliance identified in <u>FFY 2011</u> and the remaining eight uncorrected findings of noncompliance identified in FFY 2008 were corrected. ..."

In reviewing Nevada's final submission of the FFY 2012 APR (Indicator 1, pages 4 and 5), it is noted that the State reported one (1) finding of noncompliance remaining from FFY 2011. Of the five (5) findings issued in FFY 2011, it was reported that two (2) were verified to have timely correction and two (2) had been verified to have subsequent correction at the time the FFY 2012 APR was submitted. The State reported that one (1) finding of noncompliance remained uncorrected at that time. The data calculations for this section reflect the one (1) remaining finding as reported in the FFY 2012 APR.

As of the submission of this APR, the remaining noncompliance from FFY 2011 is specific to one (1) EIS program. The program has demonstrated progress but has not been able to demonstrate it is fully implementing this requirement for all children enrolled.

Eight (8) Uncorrected Findings of Noncompliance From FFY 2008 Reported in FFY 2012 APR

As of the submission of this APR, the remaining noncompliance from FFY 2008 (for all findings) is specific to one (1) early intervention program. The program has demonstrated progress but has not been able to demonstrate it is fully implementing this requirement for all children enrolled. It has been verified the issues impacting the program's ability to correct the noncompliance were linked to broader systemic issues at the state level.

Underlying Causes of Ongoing Noncompliance:

The primary issues underlying the ongoing noncompliance for this program continues to be identified as:

- Insufficient personnel capacity to serve the number of children being referred and the number determined eligible for early intervention services due to the State fiscal crisis.
- Specific personnel shortages and budget containment for Speech Language Pathologists, Occupational Therapists, Physical Therapists, Instructional Aides, and Feeding and Vision services.
- Lack of competitive salaries for professional therapists.
- Decrease in workforce capacity for existing personnel due to the State mandated furloughs for all employees.

## Specific Actions Taken by the State to Address the Noncompliance:

In the 2013 legislative session, administration of funds for direct early intervention services was transferred from the Nevada Health Division to the Aging and Disability Services Division (ADSD) within the Nevada Department of Health and Human Services. The Legislature approved an increase in the total budget for early intervention services in the state for the 2013-2015 biennium by 14.9 percent in year one (1) and 11.77 percent in year two (2) to address the underlying issues resulting in noncompliance for timely initiation of EI services.

A total of 32 new positions were assigned to the EIS program in the southern region. This program has all outstanding findings of noncompliance for this indicator. The EIS program received an overall budget increase of approximately 88% in State General Funds for FFY 2013 over the total budget for FFY 2012. This included funds for new positions as well as funds for contracting with additional service providers to provide early intervention services to Part C eligible children. While access to new funds was initiated July 1, 2013, the process of creating and hiring new positions within the state system and establishing agreements with providers required some time. Therefore, the impact of new funding to address the issue had been a progressive process.

Specific Actions Taken by the Programs to Address the Noncompliance:

- Actively working on provider agreements with additional community providers for provision of needed services.
- Contracted services out to the other early intervention providers through a fee for services.
- Increased tracking of children's status to ensure personnel are aware of timelines approaching for needed services.
- Monthly tracking, in conjunction with the IDEA Part C Office, the number of children experiencing delay in initiation of EI Services as well as the specific services not being initiated in a timely manner.
- Actively working to hire new personnel as positions became available.
- Developing an internal quality assurance team to provide training to both in-house and community based EI service providers to expand the capacity of providers to enroll more children in EI services.

The program has not achieved full compliance; however, results of the October 2014 focused monitoring show that 13 of the 18 records reviewed (86.7%) were compliant for timely initiation of services. This was an improvement over the 78% based on the July 2014 focused monitoring.

## Enforcement by The IDEA Part C Office to Address the Noncompliance:

- 1. The IDEA Part C Office conducted focused monitoring activities on at least a quarterly basis through this reporting period. Onsite visits and record reviews were increased to bimonthly as of October 2014.
- The program is required to submit quarterly progress reports including the status of completion of compensatory services for children that had delays in initiation of services (including supporting documentation) and updates on the status of activities specified in the Corrective Action Plan (CAP).
- 3. Desk audits are conducted by the IDEA Part C Office on a monthly basis through the Tracking Resources and Children

(TRAC) data system reports on the number of children enrolled in the program who are past the timeline for service initiation specified in the IFSP. These reports are used to monitor progress but are not sufficient to verify correction as they do not account for delays that are due to family circumstances.

- 4. Progress toward correcting the noncompliance is reported to the Department on a monthly basis and to the ICC on a quarterly basis.
- 5. The IDEA Part C Office is developing a Compliance Agreement with the program in February 2015. The purpose of the agreement is to ensure full correction of noncompliance for this indicator no later than April 1, 2015.

Describe how the State verified that each LEA corrected each individual case of noncompliance

#### Correction of Noncompliance Identified in FFY 2012 for Individual Children:

It was verified through child record reviews and ongoing reporting that services were initiated for all children represented in the noncompliance, though late, unless the child was no longer in the jurisdiction of the early intervention program. Therefore, individual child correction has been verified for all children.

Status of Correction of Noncompliance Identified in FFY 2011 and FFY 2008 as of Submission of the FFY 2013 APR:

All findings in FFY 2011 were a result of complaint investigations.

Nevada reported in the FFY 2012 APR eight (8) ongoing findings of noncompliance from FFY 2008. These findings were issued to one (1) program and were based on program monitoring [one (1)] finding and individual child complaint investigations [seven (7)] findings.

Individual Child Correction:

Again, it was verified through child record reviews and ongoing reporting that services were initiated for all children, though late, unless the child was no longer in the jurisdiction of the early intervention program. Therefore, individual child correction has been verified for all children.

## Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR		Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected	
FFY2011	1		0	1	
FFY2008	8		0	8	

## FFY 2011 Findings Not Yet Verified as Corrected

Actions taken if noncompliance not corrected

Status of Correction of Noncompliance Identified in FFY 2011 as of Submission of the FFY 2013 APR:

The "Required Actions" in OSEP's June 2014 response to Nevada's FFY 2012 APR states:

"..... In addition, the State must demonstrate, in the FFY 2013 APR, that the remaining three uncorrected findings of noncompliance identified in <u>FFY 2011</u> and the remaining eight uncorrected findings of noncompliance identified in FFY 2008 were corrected. ..."

In reviewing Nevada's final submission of the FFY 2012 APR (Indicator 1, pages 4 and 5), it is noted that the State reported one (1) finding of noncompliance remaining from FFY 2011. Of the five (5) findings issued in FFY 2011, it was reported that two (2) were verified to have timely correction and two (2) had been verified to have subsequent correction at the time the FFY 2012 APR was submitted. The State reported that one (1) finding of noncompliance remained

uncorrected at that time. The data calculations for this section reflect the one (1) remaining finding as reported in the FFY 2012 APR.

As of the submission of this APR, the remaining noncompliance from FFY 2011 is specific to one (1) EIS program. The program has demonstrated progress but has not been able to demonstrate it is fully implementing this requirement for all children enrolled. The finding is specific to the program with ongoing noncompliance from FFY 2008. For actions taken to address this program's noncompliance, please see the response in the following section regarding findings from FFY2008.

#### FFY 2008 Findings Not Yet Verified as Corrected

Actions taken if noncompliance not corrected

#### Eight (8) Uncorrected Findings of Noncompliance From FFY 2008 Reported in FFY 2012 APR

As of the submission of this APR, the remaining noncompliance from FFY 2008 (for all findings) is specific to one (1) early intervention program. The program has demonstrated progress but has not been able to demonstrate it is fully implementing this requirement for all children enrolled. It has been verified the issues impacting the program's ability to correct the noncompliance were linked to broader systemic issues at the state level.

Underlying Causes of Ongoing Noncompliance:

The primary issues underlying the ongoing noncompliance for this program continues to be identified as:

- Insufficient personnel capacity to serve the number of children being referred and the number determined eligible for early intervention services due to the State fiscal crisis.
- Specific personnel shortages and budget containment for Speech Language Pathologists, Occupational Therapists, Physical Therapists, Instructional Aides, and Feeding and Vision services.
- Lack of competitive salaries for professional therapists.
- Decrease in workforce capacity for existing personnel due to the state mandated furloughs for all employees.

## Specific Actions Taken by the State to Address the Noncompliance:

In the 2013 legislative session, administration of funds for direct early intervention services was transferred from the Nevada Health Division to the Aging and Disability Services Division (ADSD) within the Nevada Department of Health and Human Services. The Legislature approved an increase in the total budget for early intervention services in the state for the 2013-2015 biennium by 14.9 percent in year one (1) and 11.77 percent in year two (2) to address the underlying issues resulting in noncompliance for timely initiation of EI services.

A total of 32 new positions were assigned to the EIS program in the southern region. This program has all outstanding findings of noncompliance for this indicator. The EIS program received an overall budget increase of approximately 88% in State General Funds for FFY 2013 over the total budget for FFY 2012. This included funds for new positions as well as funds for contracting with additional service providers to provide early intervention services to Part C eligible children. While access to new funds was initiated July 1, 2013, the process of creating and hiring new positions within the state system and establishing agreements with providers required some time. Therefore, the impact of new funding to address the issue had been a progressive process.

Specific Actions Taken by the Programs to Address the Noncompliance:

- Actively working on provider agreements with additional community providers for provision of needed services.
- Contracted services out to the other early intervention providers through a fee for services.
- Increased tracking of children's status to ensure personnel are aware of timelines approaching for needed services.
- Monthly tracking, in conjunction with the IDEA Part C Office, the number of children experiencing delay in initiation of El Services as well as the specific services not being initiated in a timely manner.
- Actively working to hire new personnel as positions became available.
- Developing an internal quality assurance team to provide training to both in-house and community based EI service providers to expand the capacity of providers to enroll more children in EI services.

The program has not achieved full compliance; however, results of the October 2014 focused monitoring show that 13 of

the 18 records reviewed (86.7%) were compliant for timely initiation of services. This was an improvement over the 78% based on the July 2014 focused monitoring.

## Enforcement by The IDEA Part C Office to Address the Noncompliance:

- 1. The IDEA Part C Office conducted focused monitoring activities on at least a quarterly basis through this reporting period. Onsite visits and record reviews were increased to bimonthly as of October 2014.
- 2. The program is required to submit quarterly progress reports including the status of completion of compensatory services for children that had delays in initiation of services (including supporting documentation) and updates on the status of activities specified in the Corrective Action Plan (CAP).
- 3. Desk audits are conducted by the IDEA Part C Office on a monthly basis through the Tracking Resources and Children (TRAC) data system reports on the number of children enrolled in the program who are past the timeline for service initiation specified in the IFSP. These reports are used to monitor progress but are not sufficient to verify correction as they do not account for delays that are due to family circumstances.
- 4. Progress toward correcting the noncompliance is reported to the Department on a monthly basis and to the ICC on a quarterly basis.

#### OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

OSEP identified and corrected a system defect related to calculating exceptional family circumstances for Indicators 1, 7, 8A, and 8C during its review phase. Please refer to OSEP's clarification announcement email and the attachment on the progress page titled "Exceptional Family Circumstances Calculations" to determine if your State must take any action.

Indicator 2: Services in Natural Environments Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

#### (20 U.S.C. 1416(a)(3)(A) and 1442)

#### **Historical Data**

#### Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012		
Target ≥		96.00%	96.00%	96.00%	96.00%	96.00%	96.00%	96.00%		
Data	98.50%	99.60%	99.50%	99.50% 99.70%		98.00%	93.00%	98.79%		
	Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

#### FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	96.00%	96.00%	96.00%	96.00%	96.00%	96.00%

#### **Targets: Description of Stakeholder Input**

Nevada's targets for this indicator were presented to the State Interagency Coordinating Council for input and discussion. The targets are being maintained at the level established in the 2005 State Performance Plan. It is felt that these targets are appropriate given the state's performance history and taking into consideration the need to individualize service delivery for individual children.

#### **OSEP** Response

Indicator 2: Services in Natural Environments FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

#### (20 U.S.C. 1416(a)(3)(A) and 1442)

#### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	2,505	
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Total number of infants and toddlers with IFSPs	2,512	

#### FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
2,505	2,512	98.79%	96.00%	99.72%	Met Target	No Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

## Provide additional information about this indicator (optional)

Data for this indicator are generated using the Tracking Resources and Children (TRAC) child data collection system and are reported based on for 618 data reported for December 1, 2013.

Twelve early intervention service (EIS) provider programs were reviewed during this reporting period based on 618 data from December 1, 2013 to determine whether the children enrolled received the majority of their services in settings considered natural environments. The results were as follows:

Eight (8) programs exceeded the target;

Two (2) programs were less than 1% below the target; and

Two (2) programs were less than 3% below the target.

Verification that the Individualized Family Service Plan (IFSP) included an appropriate justification if services were not provided in a natural environment was also conducted through file reviews during the monitoring process. Of 498 records reviewed, 490 (98.4%) were compliant for including appropriate justifications in the IFSP when services were provided in settings not considered natural environments of the individual child and family. The eight (8) records where appropriate justifications were not provided were specific to two (2) EIS programs. Both programs had ongoing noncompliance from previous reporting periods so no new findings were issued.

Nevada continues to work with programs where compliance could not be verified through FFY 2013 monitoring processes. The following issues were identified as impacting the program's ability to demonstrate correction of ongoing noncompliance:

- Failure to provide appropriate justifications when provision of services occurred in settings other than those identified as
  natural environments for the individual child and family; and
- Use of individual/agency contractors who did not provide services in the natural environment in order to expedite

services and prevent children from waiting for service initiation.

Actions taken to ensure correction of the noncompliance:

- Desk audits of service settings for children served by the program
- On-site verification visit to monitor progress on at least a quarterly basis to determine whether or not appropriate justifications are included
- Provision of training for EIS providers regarding the process of determining whether a justification for providing services is warranted and how to write an appropriate justification if one is needed
- Development of a signed agreement for correction of noncompliance established with the EIS program

#### **OSEP** Response

Indicator 2: Services in Natural Environments Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

**OSEP** Response

## Indicator 3: Early Childhood Outcomes Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

## **Historical Data**

	Baseline Year	FFY	2005	2006	2007	2008	2009	2010	2011	2012	
A1	2013	Target ≥					72.70%	68.40%	68.50%	68.60%	
AI	2008	Data				72.70%	68.30%	65.90%	67.50%	65.90%	
A2	2013 <del>2008</del>	Target ≥					32.60%	40.30%	40.40%	40.50%	
AZ		Data				45.80%	40.20%	41.20%	41.20%	43.10%	
B1	2013	Target ≥					75.80%	69.80%	69.90%	70.00%	
ы	2008	Data				75.80%	69.70%	70.50%	71.80%	71.70%	
B2	2013	Target ≥					32.60%	37.20%	37.30%	37.40%	
DZ	<del>2008</del>	Data				39.10%	37.10%	39.00%	37.80%	37.40%	
C1	2013	Target ≥					78.00%	71.20%	71.30%	71.40%	
	2008	Data				78.00%	71.10%	69.60%	72.90%	70.90%	
0	2013	Target ≥					32.60%	41.10%	41.20%	41.30%	
C2	2008	Data				44.60%	41.00%	44.30%	44.40%	45.90%	
		Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

## **Explanation of Changes**

Missing targets were entered for FFY 2012. Baseline was removed from FFY 2008, the new baseline has been reset for FFY 2013.

The State's baseline for Indicator C3, Percent of infants and toddlers with IFSPs who demonstrate improved: A. Positive socialemotional skills (including social relationships); B. Acquisition and use of knowledge and skills (including early language/communication); and C. Use of appropriate behaviors to meet their needs was initially established in FFY 2008. A new baseline was established and targets were revised in FFY 2009 due to concerns regarding the sufficiency of the FFY 2008 data. Nevada has continued to evaluate annual performance against the revised targets established in FFY 2009. At that time of the revision of baseline and targets in FFY 2009, the baseline was based on data reported for 1044 children by nine (9) EIS programs and represented 81% of the children exiting with at least 6 months of services. While this was felt to be representative of all children in the State at that time, substantial progress has since been made relative to the completeness and quality of the data as the State approached the development of the State Systemic Improvement Plan (SSIP) in FFY 2013-2014, described below.

In the process of development of the SSIP, stakeholders looked more critically at the State's child outcomes data since this is the measure on which performance will be evaluated through 2020. Members of the Early Childhood Outcomes (ECO) Taskforce, one of the primary stakeholder groups for Phase I of the SSIP, had expressed concerns regarding the appropriateness of established targets. Since Indicator 3C, Summary Statement 1 was selected as the State-Identified Measurable Result (SIMR) for infants and toddlers with disabilities participating in the EIS system, it was determined the baseline should be established on more current data. Underlying justifications for this included:

- Increase in the number of EIS providers in the EIS system from nine (9) in FFY 2009 to twelve (12) in FFY 2013 and thus a greater number of children for whom data is available;
- Performance data for FFY 2013 included 1,760 children 97% of all children exiting the system having received at least

six (6) months of service. A 16% increase in the completeness from the FFY 2009 data. Because the data are more complete, stakeholders are more confident that the current outcome indicators are more representative of the children being served by NV Part C and the progress they make during their time in the program.

- Improved procedures within the IDEA Part C Office for tracking timely submission of data and ensuring data completeness resulting in more and better data; and
- Ongoing pattern checking, training of service providers, and follow-up has resulted in improvement in the accuracy of ratings of children's abilities; while it was felt that further improvement is needed, it was also summarized the quality of the data has improved over that available in FFY 2009.

As a result of the consideration of these improvements, it was determined the FFY 2013 is more appropriate and are more representative of the current population of children served. This led stakeholders to the decision that is was necessary to re-establish baseline based on the more accurate data and then establish new targets for all summary statements for Indicator 3. This ensures the reflection of the State's annual performance and progress relative to all summary statements for this Indicator will be based on a more appropriate baseline and will be completely aligned with the evaluation of performance in the SSIP.

FFY	2013	2014	2015	2016	2017	2018
Target A1 ≥	65.25%	65.78%	66.31%	66.84%	67.37%	67.90%
Target A2 ≥	39.94%	39.94%	40.04%	40.04%	40.14%	40.14%
Target B1 ≥	70.76%	70.76%	70.86%	70.86%	71.96%	71.96%
Target B2 ≥	38.24%	38.24%	38.34%	38.34%	38.44%	38.44%
Target C1 ≥	66.08%	66.08%	66.18%	66.18%	66.28%	66.28%
Target C2 ≥	41.70%	41.70%	41.80%	41.80%	41.90%	41.90%

## FFY 2013 - FFY 2018 Targets

## Targets: Description of Stakeholder Input

Discussion regarding the establishment of outcomes for this indicator were conducted with the Child Outcomes Taskforce which is made up of stakeholders from various early intervention service provider programs and IDEA Part C Office personnel. This stakeholder group has been an active part of the development of Nevada's process for collecting and reporting child outcomes data since 2006. Proposals for establishing new targets was also presented to the State ICC in January 2015.

In meeting with stakeholders as part of the process of re-establishing targets for the next six (6) years (FFY 2013-FFY 2018), the stakeholders were more confident about the quality and reliability of current data. Analysis of the FFY 2013 Child Outcomes data clearly demonstrated that Nevada had significantly more complete progress data than in past reporting periods and the quality of the data has continued to improve over time. Therefore, the FFY 2013 data is being utilized for re-establishing baseline for all three outcomes for the State Performance Plan (SSP). This is also consistent with the use of FFY 2013 data in establishment of the baseline for Indicator 11.

The process of re-establishing new targets consisted of: reviewing Nevada's child outcome data quality profile- demonstrating small variations in the data overtime, comparison of Nevada's data with national averages, comparison of Nevada's data with similar eligibility criteria states, comparing the year to year data of Nevada to identify patterns and trends overtime, and utilization of the meaningful difference calculator in order to ensure that State is making a significant improvement in the results for children and families. An incremental increase of .1% for Outcome (A), summary statement 2 and Outcomes (B) and (C), summary statements 1 and 2 has been determined as an appropriate measurement of child progress based on the restrictive eligibility criteria and population Nevada serves. The State identified Measurable Result (SiMR) for Nevada for Indicator 11 is Outcome A, summary statement 1. The process for establishing targets for this outcome consisted of the same

method used for determining the other targets. However, the targets for Outcome A, summary statement 1 were set more rigorously at .53% every year to demonstrate a greater impact of improvement for children in their social-emotional skills.

#### **OSEP** Response

The State revised its previously established baseline data for this indicator to make its FFY 2013 data be the baseline data for this indicator. OSEP cannot accept those revisions because the State did not provide an adequate explanation for revising its baseline data to be the FFY 2013 data. While the State indicated that its stakeholders "were more confident about the quality and reliability of current data," the State's justification for changing the baseline year is not sufficient. If the State wishes OSEP to accept the FFY 2013 data as its baseline data, the State must provide an explanation for revising its baseline data such as a change in the methodology or other circumstances that would explain why the FFY 2013 are better baseline data. Absent an acceptable explanation, OSEP will use the State's previously established baseline data for evaluating the State's progress and whether the State's FFY 2018 target(s) reflect improvement over baseline data.

In addition, the State provided targets for FFY 2013 through FFY 2018 and OSEP cannot accept the targets for FFY 2018 for Summary Statements A1, A2, B1, B2, C1, and C2, because the FFY 2018 targets for those Summary Statements do not reflect improvement from the previously established baseline data. The State must revise its FFY 2018 targets for A1, A2, B1, B2, C1, and C2 to reflect improvement over its baseline data.

## Indicator 3: Early Childhood Outcomes FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

#### (20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2013 SPP/APR Data	
Number of infants and toddlers with IFSPs assessed	1,818

Does the State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

#### Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children
a. Infants and toddlers who did not improve functioning	9
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	532
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	516
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	500
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	203

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1,016	1,557	65.90%	65.25%	65.25%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	703	1,760	43.10%	39.94%	39.94%	Met Target	No Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

## Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children
a. Infants and toddlers who did not improve functioning	11
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	465
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	611
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	541
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	132

Numerator     Denominator     FFY 2012 Data*     FFY 2013 Target*     FFY 2013 Data     Status     Slippage
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	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1,152	1,628	71.70%	70.76%	70.76%	Met Target	No Slippage
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	673	1,760	37.40%	38.24%	38.24%	Met Target	No Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

## **Outcome C: Use of appropriate behaviors to meet their needs**

	Number of Children
a. Infants and toddlers who did not improve functioning	13
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	531
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	482
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	578
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	156

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1,060	1,604	70.90%	66.08%	66.08%	Met Target	No Slippage
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	734	1,760	45.90%	41.70%	41.70%	Met Target	No Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? Yes

Provide additional information about this indicator (optional)

#### **OSEP** Response

The State revised its previously established baseline data for this indicator to make its FFY 2013 data be the baseline data for this indicator. OSEP cannot accept those revisions because the State did not provide an adequate explanation for revising its baseline data to be the FFY 2013 data. While the State indicated that its stakeholders "were more confident about the quality and reliability of current data," the State's justification for changing the baseline year is not sufficient. If the State wishes OSEP to accept the FFY 2013 data as its baseline data, the State must provide an explanation for revising its baseline data such as a change in the methodology or other circumstances that would explain why the FFY 2013 are better baseline data. Absent an acceptable explanation, OSEP will use the State's previously established baseline data for evaluating the State's progress and whether the State's FFY 2018 target(s) reflect improvement over baseline data.

In addition, the State provided targets for FFY 2013 through FFY 2018 and OSEP cannot accept the targets for FFY 2018 for Summary Statements A1, A2, B1, B2, C1, and C2, because the FFY 2018 targets for those Summary Statements do not reflect improvement from the previously established baseline data. The State must revise its FFY 2018 targets for A1, A2, B1, B2, C1, and C2 to reflect improvement over its baseline data.

## Indicator 3: Early Childhood Outcomes Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Actions required in FFY 2012 response table

The State must report progress data and actual target data for FFY 2013 in the FFY 2013 APR.

#### Responses to actions required in FFY 2012 response table

Progress data and actual target data for FFY 2013 is being submitting.

#### **OSEP** Response

The State revised its previously established baseline data for this indicator to make its FFY 2013 data be the baseline data for this indicator. OSEP cannot accept those revisions because the State did not provide an adequate explanation for revising its baseline data to be the FFY 2013 data. While the State indicated that its stakeholders "were more confident about the quality and reliability of current data," the State's justification for changing the baseline year is not sufficient. If the State wishes OSEP to accept the FFY 2013 data as its baseline data, the State must provide an explanation for revising its baseline data such as a change in the methodology or other circumstances that would explain why the FFY 2013 are better baseline data. Absent an acceptable explanation, OSEP will use the State's previously established baseline data for evaluating the State's progress and whether the State's FFY 2018 target(s) reflect improvement over baseline data.

In addition, the State provided targets for FFY 2013 through FFY 2018 and OSEP cannot accept the targets for FFY 2018 for Summary Statements A1, A2, B1, B2, C1, and C2, because the FFY 2018 targets for those Summary Statements do not reflect improvement from the previously established baseline data. The State must revise its FFY 2018 targets for A1, A2, B1, B2, C1, and C2 to reflect improvement over its baseline data.

## Indicator 4: Family Involvement Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### **Historical Data**

	Baseline Year	FFY	2005	2006	2007	2008	2009	2010	2011	2012
A	2006	Target ≥				92.00%	93.00%	93.00%	94.00%	95.00%
<b>^</b>	2006	Data		94.29%	91.00%	93.20%	97.00%	96.00%	95.00%	97.64%
в	2006	Target ≥				91.00%	92.00%	92.00%	93.00%	94.00%
В	2006	Data		91.32%	95.00%	94.10%	94.00%	91.00%	91.00%	94.28%
с	2006	Target ≥				89.00%	89.00%	90.00%	91.00%	92.00%
	2006	Data		91.00%	93.00%	95.30%	96.00%	92.00%	96.00%	96.97%
Key:     Gray – Data Prior to Baseline     Yellow – Baseline     Blue – Data Update										

#### FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target A ≥	95.00%	95.50%	96.00%	96.50%	97.00%	97.50%
Target B ≥	94.00%	94.50%	95.00%	95.50%	96.00%	96.50%
Target C ≥	92.00%	92.50%	93.00%	93.50%	94.00%	94.50%

## Targets: Description of Stakeholder Input

Nevada maintained targets in FFY 2013 at the same level as those established for FFY 2012 as reported in the FFY 2012 APR and endorsed by the State Interagency Coordinating Council (ICC). Targets for FFY 2014 thorugh FFY 2018 have been increased by one half a percent per year to promote continued improvement. These targets have been reviewed by the ICC for input.

#### **OSEP** Response

## Indicator 4: Family Involvement FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

#### (20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2013 SPP/APR Data	
Number of respondent families participating in Part C	338
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	322
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	336
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	325
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	337
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	334
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	338

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	97.64%	95.00%	95.83%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	94.28%	94.00%	96.44%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	96.97%	92.00%	98.82%	Met Target	No Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

## Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

Data for this indicator is generated through the annual IDEA Part C Family Survey. For the FFY 2013 (SFY 2014) reporting period, **surveys were sent to a total of 1,469 all active families** who were enrolled in early intervention services for at least six (6) months on the date of the data pull. Since the survey is sent to all families enrolled who meet the 6-months criteria on the date of the data pull, the group is representative of the population served. A total of **338 surveys were returned** for a return rate of **29%.** The return rate of 29% for FFY 2013 is an increase from the 19% reported in FFY 2012.

#### A. Know Their Rights

Statewide: This data is based on responses to Question 5 on the SFY 2014 Annual Family Survey which states, "Early Intervention helps me know and understand my parent rights."

The total number of families responding to Question 5 was 336. Of those responding, 322 (96%) reported they agree that early intervention had helped their family know their rights under the IDEA. 231 respondents indicated they Strongly Agree and 91 indicated they Agree. Nevada's performance in FFY 2013 of 96% exceeded the state target of 95.5%.

#### B. Effectively Communicate Their Children's Needs

Statewide: This data is based on responses to Question 15 on the SFY 2013 Annual Family Survey which states, "Early Intervention helps me effectively communicate my child's needs."

The total number of families responding to Question 15 was 337. Of those responding, 325 (96%) reported early intervention services were effective in communicating their child's needs. Of the 325 total responses, 211 chose Strongly Agree and 114 chose Agree. Nevada's performance in FFY 2013 exceeds the State target of 94.5% for this reporting period

#### C. Help Their Children Develop and Learn

Statewide: This data is based on responses to Question 2 of the SFY 2013 Annual Family Survey which states, *"My Early Intervention providers show me how I can help my child develop and learn."* 

The total number of families responding to Question 2 was 338. Of those responding, 334 (99%) reported they agree early intervention service providers show them how to help their child develop and learn. Of the 334 responses, 321 chose Strongly Agree and 13 chose Agree. Nevada's performance in FFY 2013 of 97% exceeded the state target of 92.5%.

#### Survey Process:

Nevada's Annual Family Survey for 2014 was conducted through agreement with the Nevada Center for Excellence in Disabilities (NCED) at the University of Nevada, Reno (UNR). The survey was developed by a stakeholder workgroup and consists of twenty questions using the five point Likert scale and one open-ended question to obtain input from families regarding their participation in early intervention services. In addition to responding to the survey questions, families are also encouraged to provide individual comments. Families have the option of not answering questions if they are not applicable.

The IDEA Part C Office provided the NCED with a database that contained the names and addresses of families who had a child with an IFSP for a minimum of six months and was receiving early intervention services from a state or community early intervention program as of January 31, 2014. A total of 1,469 children met criteria and these families were sent a survey. A survey was sent for every child in a household that met criteria. A copy of the survey was sent to the families twice during the survey period one month apart. A cover letter accompanied each survey, as well as a postage-paid return envelope. The cover letter informed families their survey would be returned to the NCED so responses would remain confidential. All surveys were sent in both English and Spanish.

## Rate of Return:

Families were instructed to return this survey by July 31, 2014. In the final analysis, the number of families who had a child with an IFSP for a minimum of six months, received early intervention services, and had a valid address with a state or community early intervention program as of January 31, 2014 was 1,173. The total number of unduplicated surveys returned was 338 (29%). This was an improvement compared to the return rate of 19% for the 2013 survey.

Survey results were analyzed on a statewide basis but were also disaggregated by each early intervention program in the State. All personally identifiable information specific to the family or any individual service provider is redacted prior to public reporting. The published survey results include parent comments and are distributed to all early intervention programs for review of performance and parent comments. As a result, programs may discuss issues with direct service personnel to ensure parent's concerns are being addressed. This data supports individual programs in program planning and improvement. In addition, the complete survey report will be posted on Nevada's website and disseminated to the Nevada Interagency Coordinating Council (ICC), regional programs, Aging and Disability Services Division (ADSD) and the Department of Health and Human Services (DHHS)

Responses to the family survey are analyzed to assess the representativeness of the response group. Responses from most race/ethnicity groups are consistent with the percent of the sample population. There is a slightly higher response rate from families identifying themselves as "White" and a slightly lower rate of return from families identifying themselves as "Hispanic/Latino". The following table reflects the percentages for distribution and return of the survey based on race/ethnicity.

Race Ethnicity	Total	% of	Total #	% of
	Distributed	Total	Returned	Total
White	474	40%	176	52%
Hispanic/Latino	432	37%	83	25%
Black or African American	120	10%	30	9%
Asian	39	3%	19	6%

American Indian or Alaska Native	7	1%	2	1%
Two or More Races	87	7%	21	6%
Native Hawaiian or Other Pacific Islander	14	1%	7	2%
Totals	1173		338	

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

Yes, the data accurately represent the demographics of the State

No, the data does not accurately represent the demographics of the State

Provide additional information about this indicator (optional)

## **OSEP** Response

## Indicator 4: Family Involvement Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

**OSEP** Response

## Indicator 5: Child Find (Birth to One) Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## **Historical Data**

## Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		0.60%	0.65%	0.70%	0.75%	0.80%	0.85%	0.90%
Data	0.47%	0.67%	0.91%	0.65%	0.63%	1.02%	1.15%	1.08%
Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update								

#### FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	0.95%	1.00%	1.00%	1.00%	1.00%	1.00%

## **Targets: Description of Stakeholder Input**

The proposed targets for this indicator for FFY 2013 through FFY 2018 were presented to the State ICC on January 22, 2015 for review and comment.

## **OSEP** Response

Indicator 5: Child Find (Birth to One) FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers birth to 1 with IFSPs	283	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	12/16/2014	Population of infants and toddlers birth to 1	35,209	

#### FFY 2013 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
283	35,209	1.08%	0.95%	0.80%	Did Not Meet Target	Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

#### **Explanation of Slippage**

Data for this indicator are generated through the Tracking Resources and Children (TRAC) data system as collected and reported for 618 data on December 1, 2013.

Statewide: On December 1, 2013, a total of 283 infants statewide, ages birth to one (1) year, had an Individualized Family Service Plan (IFSP). This number divided by the U.S. Office of Special Education Programs (OSEP) projection of 35,209 for the State's total population of infants, birth to age one (1) for 2013 indicates **.80% of the infant population** had an IFSP on December 1, 2013.

The State did not meet the established State Performance Plan (SPP) target of .95% of the projected birth-to-one year population served, the national target of 1% or the national average of 1.11% for FFY 2013. The projected general population, the number of infants with an IFSP on December 1 and the percent of general population served were lower for this reporting period than FFY 2012.

This is the second consecutive year there have been reductions in these areas.

Table 5.A. OSEP General Population Estimates for Nevada Infants, Ages Birth to One (1) Year

Federal Fiscal Year	Population Estimate	Change in Population (+/-)
2006	37,901	+3,715
2007	40,917	+3,016
2008	40,346	-571
2009	40,286	- 60
2010	36,505	* -3,781
2011	36,675	+ 170
2012	35,877	- 798
2013	35,209	- 678

Table 5.A. reflects OSEP's projections for the State's total population of infants ages birth to one (1) year and the change in the population estimates from 2006 through 2013. This table shows there was a decrease in the projected population

of infants, ages birth to one (1) year in the State for FFY 2013 compared to the projected number for FFY 2012. Table 5.B. Infants Ages Birth to 1 Year with IFSP on December 01

Federal Fiscal Year	# Infants with IFSP	Change in # w/IFSP	% Population Serve
2006	255	+93	.67%
2007	372	+ 117	.91%
2008	263	-109	.65%
2009	253	-10	.63%
2010	372	+ 119	1.02%
2011	423	+51	1.15%
2012	388	-35	1.08%
2013	283	-105	.80%

Table 5.B. reflects the number of infants reported as having an IFSP on December 1 of each year from 2006 through 2013, the changes in the number of children with IFSPs from year to year, and the percent of the population served (i.e., with an IFSP) on December 1 of each year. This table shows there was a decrease in the number of infants, ages birth to one (1) year, reported in the December 1, 2013 Child Count compared to the December 1, 2012 count.

The significant slippage in the number of infants with an IFSP on December 1 and in the percent of population served for FFY 2013 is of concern. Preliminary review of referral and exit data have not identified specific underlying reasons for this change. Further investigation, including review of regional child find activity reports and referral trends by age range, to determine the underlying causes for the slippage is needed. Related data will be reviewed by the IDEA Part C Office and the ICC Child Find Subcommittee and strategies will be established for improvement in this Indicator for FFY 2014.

## Provide additional information about this indicator (optional)

#### **OSEP** Response

## Indicator 5: Child Find (Birth to One) Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

#### **OSEP** Response

## Indicator 6: Child Find (Birth to Three) Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## **Historical Data**

## Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012	
Target ≥		1.56%	1.67%	1.78%	1.89%	2.00%	2.00%	2.00%	
Data	1.36%	1.36%	1.67%	1.69%	1.54%	2.09%	2.31%	2.35%	
Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

#### FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%

## **Targets: Description of Stakeholder Input**

The proposed targets for FFY 2013 through FFY 2018 were presented to the State Interagency Coordinating Council for review at the January 22, 2015 meeting for review and input. No concerns were expressed by the ICC and the proposed targets were adopted.

## **OSEP** Response

## Indicator 6: Child Find (Birth to Three) FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers birth to 3 with IFSPs	2,512	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	12/16/2014	Population of infants and toddlers birth to 3	105,367	

#### FFY 2013 SPP/APR Data

to 3 with IFSPs	Population of infants and toddlers birth to 3	Data*	Target*	Data	Status	Slippage
2,512	105,367	2.35%	2.00%	2.38%	Met Target	No Slippage

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

## Provide additional information about this indicator (optional)

The data for this indicator are generated through the Tracking Resources and Children (TRAC) data system as collected and reported for 618 data on **December 1, 2013**.

Statewide: On December 1, 2013 a total of **2,512** infants and toddlers in Nevada, ages birth to three (3) years, had an active Individualized Family Service Plan (IFSP). This number divided by the U.S. Office of Special Education Programs (OSEP) estimate of 105,367 for the State's general population of infants and toddlers, birth to age 3, indicates that **2.38%** of the population was represented in the December 1, 2013 count.

Based on the December 1, 2013 data, the State met the established State Performance Plan (SPP) target and the national target of 2%. The state was slightly below the national average of 2.82% percent for the birth to three (3) year old population served in this reporting period. Nevada ranked 29<sup>th</sup> in 2012 for the percent of population served compared to the 50 states and the District of Columbia and Puerto Rico.

Nevada demonstrated slight improvement in this indicator relative to the percent of population served for the FFY 2013 reporting period. However, the number of eligible infants and toddlers, age birth to three (3) years, with an IFSP on December 1, 2013 decreased by 41 children from FFY 2012. As reflected in Table 6.A., the State, again, had a reduction in overall population for this age group for this reporting period.

FFY Year	# General Population	+/- Change From Previous Year		
2006 111,945		+8.028		
2007	119,218	+7,273		
2008	121,331	+2,113		
2009	122,929	+ 1,598		
2010	111,905	-11,024		
2011	109,973	-1,932		

Table 6.A. OSEP General Population Estimates, Infants and Toddlers Ages Birth to Three (3)

2012	108,460	-1,513
2013	105,367	-3,093

Table 6.A. provides data on Nevada's population growth for infants and toddlers, age birth to three (3) years, from FFY 2006 to FFY 2013 as indicated by general population estimates released annually by OSEP.

Table 6.B. Infants and Toddlers Ages Birth to 3 with IFSP on December 01

Year	# Infants with IFSP	+/-	% Population Served
		Number w/IFSP	
2006	1,520	+103	1.36%
2007	1,986	+ 466	1.67%
2008	2,052	+66	1.69%
2009	1,892	-160	1.54%
2010	2,344	+452	2.09%
2011	2,544	+ 200	2.31%
2012	2,553	+11	2.35%
2013	2,512	- 41	2.38%

Table 6.B. reflects the statewide trend in the number and percent of infants and toddlers, age birth to Three (3) years, with an IFSP on December 1 from 2006 thru 2013.

Further investigation is warranted to determine whether there is a relationship between the reduction in population and the reduction in the number of children reported for December 1, 2013. The IDEA Part C Office and the ICC Child Find Committee will continue to track performance for this indicator on a quarterly basis.

## **OSEP** Response

## Indicator 6: Child Find (Birth to Three) Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

#### **OSEP** Response

## Indicator 7: 45-day timeline **Historical Data and Targets**

#### Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## **Historical Data**

#### Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	67.10%	92.52%	94.10%	91.30%	69.00%	94.00%	99.40%	99.45%
								·

Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update Key:

## FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

#### **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2013-June 30, 2014), and the State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

## Indicator 7: 45-day timeline FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## FFY 2013 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage			
1,729 1,835		99.45%	100%	99.83%	Did Not Meet Target	No Slippage			
FFY 2012 Data are editable on the Historical Data and Targets page.									
	lumber of documented delays attributable to exceptional family circumstances (this number will be added to the Number of eligible infants and obdelers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline)								

## What is the source of the data provided for this indicator?

- State monitoring
- State database

## Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

The performance data for this indicator are taken from the Tracking Resources and Children (TRAC) child data collection system and represent the period of July 1, 2013 through March 31, 2014.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Because this data includes all children with an initial evaluation, initial assessment and an initial IFSP meeting conducted during the timeframe, it is determined to be representative of the all children served. EIS providers are required to enter notes in the TRAC data system indicating the reasons for evaluations and IFSPs that did not occur within the required timelines. Nevada has chosen to include delays due to family circumstances in the calculations.

Documented family circumstances for not meeting the 45-day timeline included the following:

- · Family cancelled appointments; and
- Child was hospitalized or ill.

The performance data for this indicator are taken from program monitoring and represent the period of July 1, 2013 through March 31, 2014. Because this data includes all children with an initial evaluation, initial assessment, an initial IFSP meeting conducted during the timeframe, it is determined to be representative of all children served. Data for this reporting period shows:

- A total of 1,835 children had an initial evaluation and initial assessment and an initial IFSP meeting at the time of the annual monitoring.
- 1,729 children had the initial IFSP meeting conducted within 45 days from referral.
- 103 children did not have their IFSP developed within 45 days from referral due to family exceptions.
- 1,832 initial IFSPs were compliant with the 45-day timeline.

## Selection of Programs for Monitoring:

All early intervention service (EIS) provider programs in the State are required to participate in the Part C monitoring process annually. As part of each early intervention program's annual self-assessment (SA) process, performance for this indicator is evaluated utilizing data from the TRAC data system for the first three guarters of FFY 2013.

While verification of TRAC data is conducted on at least a quarterly basis by the IDEA Part C Office Data Manager, the monitoring process also includes a second level of verification during child record reviews. Each program was required to submit their SA report to the IDEA Part C Office no later than May 31, 2014. The IDEA Part C Office conducted review and verification of the data submitted in the report and issued findings, as appropriate.

## Result of FFY 2013 Monitoring

Twelve (12) EIS programs were monitored for compliance with the 45-day timeline requirements in FFY 2013. Findings of noncompliance were issued in FFY 2013 as follows:

- Ten (10) programs were found to be at 100% compliance based on the FFY 2013 monitoring process, with no new findings issued.
- Two (2) programs were issued a new finding of noncompliance for this indicator based on 2013 monitoring; both have been verified to have timely correction.
- One (1) program was issued a finding in FFY 2013 based on FFY 2012 monitoring processes; the program was verified to have timely correction in April 2014.

Provide additional information about this indicator (optional)

## **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2013-June 30, 2014), and the State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

## Indicator 7: 45-day timeline Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

#### **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2013-June 30, 2014), and the State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

## Indicator 7: 45-day timeline

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## **Correction of Findings of Noncompliance Identified in FFY 2012**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
3	3		0

## FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

## Result of FFY 2012 Monitoring

Twelve (12) EIS programs were monitored for compliance with 45-day timeline requirements in FFY 2012. A total of three (3) new findings of noncompliance were issued in FFY 2012 based on program monitoring as follows:

- One (1) program was issued a new finding of noncompliance for this indicator based on FFY 2012 monitoring;
- Two (2) programs were issued findings in FFY 2012 based on the SFY 2011 monitoring process. Both programs were verified to have timely correction.

Verification EIS Program is Implementing Requirements for All Children Enrolled

• New data reports for this indicator are generated on a quarterly basis from the TRAC IV data system. Verification that the EIS is implementing requirements for all children are based on 100% compliance in quarterly reports pulled subsequent to the date the finding was issued.

Describe how the State verified that each LEA corrected each individual case of noncompliance

Verification of Individual Child Correction:

• Individual child correction was verified for all children as new data reviewed showed an IFSP was developed for all children, though late.

## Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR		Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected	
FFY2011	1		1	0	

## FFY 2011 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

One (1) program was found to have on-going noncompliance from FFY 2011. This finding was subsequently corrected and the correction notice was sent to the program on January 9, 2015.

Verification EIS Program is Implementing Requirements for All Children Enrolled

• New data reports for this indicator are generated on a quarterly basis from the TRAC IV data system. Verification that the EIS is implementing requirements for all children are based on 100% compliance in quarterly reports pulled subsequent to the date the finding was issued.

Describe how the State verified that each LEA corrected each individual case of noncompliance

Verification of Individual Child Correction:

• Individual child correction was verified for all children as new data reviewed showed an IFSP was developed for all children, though late.

#### **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2013-June 30, 2014), and the State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

## Indicator 8: Early Childhood Transition FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## FFY 2013 SPP/APR Data

Number of toddlers with disabilities exiting Part C	2,471
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	1,551

## Provide additional information about this indicator (optional)

The total number of children entering and exiting the Part C system of services is compiled through the Tracking Resources and Children (TRAC) IV Data system. The total number of children exiting the system includes all children who had an IFSP and exited the system for any reason (including moving out of state, death, completion of IFSP, etc.)

For notification purposes, due to the State's eligibility criteria for Part C, all children are considered potentially eligible for services and appropriate notification is sent to the LEA. However, some children will have exited the system for other reasons before the child has reached their third birthday.

The number of children reported as "potentially eligible" are those that exited at the age of 3 and were indicated as potentially eligible for Part B services at the time of transition.

## Indicator 8A: Early Childhood Transition Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

```
(20 U.S.C. 1416(a)(3)(B) and 1442)
```

## **Historical Data**

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012		
Target		100%	100%	100%	100%	100%	100%	100%		
Data	85.71%	89.70%	94.00%	100%	98.00%	100%	95.00%	98.80%		
	Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

## FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

## **OSEP** Response

# Indicator 8A: Early Childhood Transition FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## FFY 2013 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/20/2015	Number of toddlers with disabilities exiting Part C		186
Indicator 8	Indicator 8 4/15/2015 Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B		1,551	186

## **Explanation of Alternate Data**

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and <u>services at least 90 days</u>, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.



U	No

Number of children exiting Part C who have an IFSP with transition steps and services         Number of toddlers with disabilities exiting           Part C         Part C		FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
184	186	98.80%	100%	98.92%	Did Not Meet Target	No Slippage

\* FFY 2012 Data are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of children exiting	0
Part C who have an IFSP with transition steps and services)	0

#### What is the source of the data provided for this indicator?



#### Describe the method used to select EIS programs for monitoring.

The IDEA Part C Office implemented a monitoring process requiring all established early intervention programs to complete an annual self-assessment. Twelve (12) programs completed the monitoring process in FFY 2013. The compliance percentage for this indicator was derived from data gathered through child records as part of the monitoring and general supervision process. A sufficient percent of the enrollment of each program (10 to 20%, based on the size of the program) is selected to ensure representation of all children served by the program. For very small programs, all records relative to transition may be reviewed. The Transition section of the IDEA Part C Office Child Record Review (CRR) form is used to verify that IFSPs for all

children exiting Part C contains a transition plan with appropriate steps and services and that the plan is developed at least 90 days, and at the discretion of all parties not more than nine months, prior to the child's third birthday. Program self-assessment reports, with supporting data, were submitted by each program no later than May 31, 2014. This allowed the IDEA Part C Office time to verify data reflected in the report, to gather additional data as appropriate, and issue findings to programs within the fiscal year reflected in the data being reported.

The compliance percentage for 8.A. was derived from data gathered through child records as part of the monitoring and general supervision process. A selection of individual child plans were reviewed to ensure plans, including appropriate steps and services, were developed for children exiting Part C services at least 90 days, and at the discretion of all parties, not more than nine months, prior to their third birthday. Data was gathered from twelve (12) early intervention programs across the State. Of the 186 records reviewed for children exiting Part C, 184 (98.8%) exited with appropriate transition plans at least 90 days, and at the discretion of all parties, not more than nine months prior to their third birthday.

One (1) program had two (2) children that had a plan developed within the required timeline but did not address all required components in the child's transition plan. This resulted in statewide compliance of 98.8% for this indicator.

## Results of FFY 13 Monitoring

A total of two (2) new findings were issued in FFY 2013 as a result of program monitoring. Correction of these findings will be reported in the FFY 2014 APR.

Provide additional information about this indicator (optional)

## **OSEP** Response

## Indicator 8A: Early Childhood Transition Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

#### **OSEP** Response

## **Indicator 8A: Early Childhood Transition**

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified		Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
	5	4	1	0

## FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

The IDEA Part C Office verified timely correction for two (2) programs via new data gathered through child record reviews at interim verification visits. The remaining two (2) findings were verified through new data gathered through file reviews during the annual monitoring process.

Describe how the State verified that each LEA corrected each individual case of noncompliance

Individual child correction was not possible for three children in these instances as they had exited Part C services and were, therefore, no longer in the jurisdiction of the program. Child correction was made for the finding as a result of the complaint investigation. Although not timely, a transition plan was developed and the conference was held in December 2013.

## Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected	
None				

## **OSEP** Response

## Indicator 8B: Early Childhood Transition Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

```
(20 U.S.C. 1416(a)(3)(B) and 1442)
```

## **Historical Data**

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012	
Target		100%	100%	100%	100%	100%	100%	100%	
Data	100%	73.40%	79.00%	100%	100%	100%	100%	100%	
	Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update								

## FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

## **OSEP** Response

# Indicator 8B: Early Childhood Transition FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### FFY 2013 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8 4/15/2015 Number of toddlers with disab		Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	1,551	1,551

#### **Explanation of Alternate Data**

Although all children exiting Part C are potentially eligible for Part B, many children exit for other reasons prior to their third birthday. See Indicator 8

#### Data include notification to both the SEA and LEA

$\mathbf{a}$	
-	Yes
$\mathbf{c}$	
$\sim$	No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
1,551	1,551	100%	100%	100%	Met Target	No Slippage
* FFY 2012 Data are editable on the Historical Data	,	10070	10070	10070	Met larget	No Olippage

Number of parents who opted out (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)

## Describe the method used to collect these data

The compliance percentage for this indicator was derived using the Tracking Resources and Children (TRAC) IV child data collection system. In completing the 618 data for Table 3, Report on Infants and Toddlers Exiting Part C Programs, Nevada used the categories under Program Completion for FFY 2013 (2013-2014) to calculate the number of children exiting Part C and potentially eligible for Part B (Nevada defined potentially eligible as all Part C eligible children since Nevada has a restrictive eligibility definition). The IDEA Part C Office issued monthly notifications to the pertinent LEA and to the SEA. The process is verified at multiple levels to ensure appropriate notification have been sent for all children. For this reporting period, there were **1,551** children who were potentially eligible for Part B services. Appropriate notification was issued for all (**100%**) of these children. Children who were referred less than 45 days prior to their third (3rd) birthday are not included in this calculation.

School districts where there were no children potentially eligible received notifications that stated there were no children in their district who were potentially eligible for Part B during the reporting period.

Do you have a written opt-out policy? No

Provide additional information about this indicator (optional)

## **OSEP** Response

## Indicator 8B: Early Childhood Transition Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

#### **OSEP** Response

## Indicator 8B: Early Childhood Transition

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0			0

## Correction of Findings of Noncompliance Identified Prior to FFY 2012

		Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected	
Ν	None				

## **OSEP** Response

## Indicator 8C: Early Childhood Transition Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

```
(20 U.S.C. 1416(a)(3)(B) and 1442)
```

## **Historical Data**

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012		
Target		100%	100%	100%	100%	100%	100%	100%		
Data	71.40%	91.60%	89.00%	100%	95.00%	97.00%	96.00%	97.25%		
	Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

## FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

## **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

# Indicator 8C: Early Childhood Transition FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

```
(20 U.S.C. 1416(a)(3)(B) and 1442)
```

#### FFY 2013 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	4/15/2015	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	1,551	1,469

#### **Explanation of Alternate Data**

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services



Number of toddlers with disabilities exitin Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
1,324	1,469	97.25%	100%	99.08%	Did Not Meet Target	No Slippage

\* FFY 2012 Data are editable on the Historical Data and Targets page.

Number of toddlers for whom the parent did not provide approval for the transition conference (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)	53
Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B)	79

## What is the source of the data provided for this indicator?

C State monitoring

State database that includes data for the entire reporting year

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data for this indicator is collected from July 1, 2013 through June 30, 2014.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

This data includes all children statewide exiting during the reporting period who were potentially eligible for Part B.

Statewide: Final data for this indicator was compiled from the TRAC data system and includes data for the full reporting period (July 1, 2013 – June 30, 2014) and includes all children exiting Part C services and potentially eligible for Part B services. This number does not include the number of children whose family declined referral to Part B. The total unduplicated number of children exiting Part C requiring a transition conference with the LEA was 1,469. Of the 1,469 children,

- 53 families declined a conference with the LEA;
- 1,324 had the transition conference conducted in a timely manner;
- 79 transition conferences were conducted but were late due to family circumstances; and
- 13 conferences were conducted but were late due to program circumstances and were therefore not compliant with requirements.

As a result, the total number of transition conferences compliant with requirements for this reporting period is 1,403 (1,324 timely conferences plus 79 conferences late due to family exceptions). This calculation does not include children referred to the early intervention system less than 90 days prior to their third birthday.

## Results of FFY 13 Monitoring

A total of seven (7) new findings were issued in FFY 2013. One finding was found to be ongoing.

Four (4) findings of noncompliance were issued based FFY2013 monitoring activities

Two (2) finding of noncompliance were issued based on FFY2012 monitoring

One (1) finding of noncompliance based on complaint investigation

One (1) ongoing but subsequently corrected January 2015

Provide additional information about this indicator (optional)

#### OSEP Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

## Indicator 8C: Early Childhood Transition Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, not including correction of findings

#### **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

## Indicator 8C: Early Childhood Transition

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified		Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
	5	4	1	0

## FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

In verifying programs are correctly implementing requirements for 8.C., the IDEA Part C Office reviews new data through the TRAC data system on a quarterly basis. Since all children are included in the database, the program is notified of correction when the program shows 100% performance.

Describe how the State verified that each LEA corrected each individual case of noncompliance

The IDEA Part C Office verified through TRAC data reports all children had a transition conference conducted, though late.

## Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

## **OSEP** Response

The State provided targets for FFYs 2013 through 2018 for this indicator, and OSEP accepts those targets.

## Indicator 9: Resolution Sessions Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## **Historical Data**

#### Baseline Data:

FFY	2005	2006	2007	2008	2009	2010	2011	2012	
Target ≥									
Data				100%					
Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

## FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥						

## **Targets: Description of Stakeholder Input**

The State is not establishing targets at this time as there has not been sufficient number of Due Process request. When there are ten (10) or more request in a reporting, targets will be established.

## **OSEP** Response

The State reported fewer than ten resolution sessions held in FFY 2013. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more resolution sessions were held.

## Indicator 9: Resolution Sessions FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

#### (20 U.S.C. 1416(a)(3)(B) and 1442)

## **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2014	3.1 Number of resolution sessions	0	
EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2014	3.1(a) Number resolution sessions resolved through settlement agreements	0	

## FFY 2013 SPP/APR Data

3.1 Number of resolution sessions	3.1(a) Number resolution sessions resolved through settlement agreements	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
0	0			Incomplete Data	n/a

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

## **OSEP** Response

The State reported fewer than ten resolution sessions held in FFY 2013. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more resolution sessions were held.

## Indicator 9: Resolution Sessions Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Actions required in FFY 2012 response table

The State must report, with the FFY 2013 SPP/APR, that it has corrected the remaining three findings of noncompliance identified in FFY 2009 and the eight findings of noncompliance identified in FFY 2008 that were not reported as corrected in the FFY 2012 APR. When reporting with the FFY 2013 SPP/APR on the correction of the remaining findings of noncompliance identified in FFY 2011 and FFY 2009, the State must report that it verified that each EIS program or provider with remaining findings of noncompliance: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. The State must report, with the FFY 2013 SPP/APR, that it has corrected the remaining three findings of noncompliance identified in FFY 2011, the one remaining finding identified in FFY 2009 and the eight findings of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. The State must report, with the FFY 2013 SPP/APR, that it has corrected the remaining three findings of noncompliance identified in FFY 2008 that were not reported as corrected in the FFY 2012 APR. When reporting with the FFY 2013 SPP/APR on the correction of the remaining findings of noncompliance identified in FFY 2008 that were not reported as corrected in the FFY 2012 APR. When reporting with the FFY 2013 SPP/APR on the correction of the remaining findings of noncompliance identified in FFY 2008 that were not reported as corrected in the FFY 2012 APR. When reporting with the FFY 2013 SPP/APR on the correction of the remaining findings of noncompliance identified in FFY 2018 and FFY 2009, the State must report that it verified that each EIS program or provider with

## Responses to actions required in FFY 2012 response table

See attached.

## **OSEP** Response

The State reported fewer than ten resolution sessions held in FFY 2013. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more resolution sessions were held.

## Indicator 10: Mediation

## **Historical Data and Targets**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## **Historical Data**

## Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012	
Target ≥								100%	
Data				100%					
Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update									

## FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥						

## Targets: Description of Stakeholder Input

Nevada is not establishing baseline or targets for Indicator 10 at this time as there have not been 10 requests for mediation in any reporting year to this point. Baseline and targets will be established when there are 10 or more mediation requests.

Nevada Part C has an established system for responding to requests for mediation. A pool of trained mediators is available and funds are set aside each year should a request be submitted. Procedures for requesting mediation are outlined in the Parent Handbook that is given to all families at the time of eligibility determination.

## OSEP Response

The State reported fewer than ten mediations held in FFY 2013. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more mediations were held.

# Indicator 10: Mediation FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1.a.i Mediations agreements related to due process complaints	0	
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1.b.i Mediations agreements not related to due process complaints	0	
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1 Mediations held	0	

## FFY 2013 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
0	0	0				Incomplete Data	n/a

\* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

## Provide additional information about this indicator (optional)

## OSEP Response

The State reported fewer than ten mediations held in FFY 2013. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more mediations were held.

## Indicator 10: Mediation Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

## OSEP Response

The State reported fewer than ten mediations held in FFY 2013. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more mediations were held.

## Indicator 11: State Systemic Improvement Plan Baseline and Targets

## Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Baseline Data				
FFY 2013				
Data	65.25%			

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	65.78%	66.31%	66.84%	67.37%	67.90%

## **Description of Measure**

**Targets: Description of Stakeholder Input** 

## Indicator 11: State Systemic Improvement Plan Data Analysis

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### **Data Analysis**

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

## Indicator 11: State Systemic Improvement Plan Analysis of State Infrastructure

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

## Indicator 11: State Systemic Improvement Plan Measurable Result for Infants and Toddlers with Disabilities

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

## Indicator 11: State Systemic Improvement Plan

**Selection of Coherent Improvement Strategies** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### **Selection of Coherent Improvement Strategies**

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

## Indicator 11: State Systemic Improvement Plan Theory of Action

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

## **Theory of Action**

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted

Provide a description of the provided graphic illustration (optional)